

Annexure C

Complaints against SBI-SG Global Securities Services Pvt. Ltd. (DP)

Data for the Month ending Sep 2022 -

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**	Average Resolution time^ (in days)
						Pending Pending for less for than 3 more months than 3 months	
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the Financial Year

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	Jun 2022	0	0	0	0
4	Jul 2022	0	0	0	0
5	Aug 2022	0	0	0	0
6	Sep 2022	0	0	0	0
	Grand Total	0	0	0	0

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*Should include complaints of previous months resolved in the current month, if any

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the	during the	the end of the
		year	year	year	year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
	Grand Total	0	0	0	0

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